

## IG Group Holdings PLC

### Statement on Slavery and Human Trafficking

#### Introduction

This statement is made pursuant to Section 54, Part 6 of the Modern Slavery Act 2015 (the “Act”) and has been adopted by IG Group Holdings PLC and all its subsidiaries, associated entities and branch offices (“IG Group”) as our statement on slavery and human trafficking for the financial year ending 31 May 2019. This anti-slavery statement (the “Statement”) sets out our approach for ensuring that we have effective systems and controls implemented to prevent modern slavery taking place in our business or in any of our supply chains.

The Act creates offences in respect of slavery, servitude, forced or compulsory labour and human trafficking. We are committed to combatting all such forms of modern slavery and carrying out our business in a fair, honest, ethical and open manner. We expect both our direct suppliers, and those who directly or indirectly supply them, to share the same values.

We have reviewed our business and supply chain. Neither we nor, to the best of our knowledge, our supply chain make use of any form of modern slavery.

#### Our Business

IG exists to empower informed, decisive, adventurous people to access opportunities in the financial markets. A technology-driven global leader in online trading and an established member of the FTSE 250, IG has a 45-year history of providing trading opportunities to clients around the globe.

IG is the world’s No.1 provider of OTC leveraged derivatives to retail clients<sup>1</sup> with sales offices located in 14 countries and operations in a further two, operating globally under the IG brand. IG also operates as the Nadex derivatives exchange in the US, and in Europe as the Spectrum MTF. IG is the trusted platform provider for over 178,000 active clients.

IG’s suite of products provide efficient, flexible access to more than 16,000 financial markets for a broad spectrum of financially sophisticated consumers, ranging from institutions to active traders to retail investors. Using its cutting-edge platforms and apps, IG’s clients place on average around 7.7 million transactions a month. This translates to an average of around 350,000 transactions per day<sup>2</sup>.

#### Our Supply Chain

Given the nature of IG Group’s business, we consider the risk of modern slavery in our supply chain to be low. However, we are not complacent and take active steps to prevent suppliers, agents, consultants and contractors throughout our supply chain from engaging in modern slavery. Suppliers that we most commonly contract with are for operations and information technology contracts. The vendor management and outsourcing framework is

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<sup>1</sup> Based on revenue excluding FX (published financial statements, February 2019)

<sup>2</sup> Average for FY19

applied to all new vendors. This framework includes a requirement that any new vendors that are deemed to be an outsourcing supplier or a high-risk vendor confirm in writing that they comply with the Act. Contract owners are also given training on how to ensure compliance with the framework when onboarding new vendors.

We will not support or do business knowingly with a vendor involved in slavery or human trafficking. To help ensure that vendors are aware of our policies and expectations relating to modern slavery, this statement is available to our vendors through IG Group's website and the websites of its qualifying subsidiaries.

### **Our Employees**

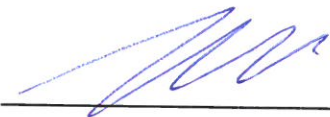
Our employees are subject to and benefit from a wide range of policies including a disciplinary and grievance policy, a diversity and inclusion policy, a non-discrimination policy, a remuneration policy and numerous compliance policies. The policies and frameworks that we have in place limit the risk of modern slavery in the workplace and encourage all staff to work ethically.

We also operate a global whistleblowing policy to provide the ability for employees to raise any concerns they have in the workplace and for those concerns to be investigated.

### **Annual Review**

IG Group will conduct an annual review of this Statement.

Approved by the Board of Directors on 18 September 2019.



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**Signed by Jon Noble, Director**

**On behalf of IG Group Holdings PLC**