

## **IG Group Holdings PLC**

### **Statement on Slavery and Human Trafficking**

#### **Introduction**

This statement is made pursuant to Section 54, Part 6 of the Modern Slavery Act 2015 (the “**Act**”) and has been adopted by IG Group Holdings PLC and all its subsidiaries, associated entities and branch offices (“**IG Group**”) as our statement on slavery and human trafficking for the financial year ending 31 May 2018. This anti-slavery statement (the “**Statement**”) sets out our approach for ensuring that we have effective systems and controls implemented to prevent modern slavery taking place in our business or in any of our supply chains.

The Act creates offences in respect of slavery, servitude, forced or compulsory labour and human trafficking. We are committed to combatting all such forms of modern slavery and carrying out our business in a fair, honest, ethical and open manner.

We have reviewed our business and supply chain. Neither we nor, to the best of our knowledge, our supply chain make use of any form of modern slavery.

#### **Our Business**

IG Group empowers informed, decisive, adventurous people to access opportunities in over 15,000 financial markets. With a strong focus on innovation and technology, the company puts client needs at the heart of everything it does.

IG Group’s vision is to be a global leader in retail trading and investments. Established in 1974 as the world’s first financial spread betting firm, it continued leading the way by launching the world’s first online and iPhone trading services.

IG Group is now an award-winning, multi-platform trading company, the world’s No.1 provider of CFDs and spread betting (based on revenue excluding FX (from published financial statements, February 2018) and a global leader in forex. It provides leveraged services with the option of limited-risk guarantees and offers an execution-only share dealing service in the UK, Australia, Germany, France, Austria and the Netherlands. IG Group also offers a range of affordable, fully managed investment portfolios, to provide a comprehensive offering to investors and active traders.

It is a member of the FTSE 250, with offices across Europe, including a Swiss bank, Africa, Asia-Pacific, the Middle East and the US, where it offers on-exchange limited risk derivatives via the Nadex brand.

## **Our Supply Chain**

Given the nature of IG Group's business, we consider the risk of modern slavery in our supply chain to be low. However, we are not complacent and take active steps to prevent suppliers, agents, consultants and contractors throughout our supply chain from engaging in modern slavery. Suppliers that we most commonly contract with are for operations and information technology contracts. In 2018 we expanded the scope of its vendor management and outsourcing framework to all new UK suppliers. This framework includes a requirement that any new supplier that is deemed to be an outsourcing supplier or a high-risk vendor confirms in writing that it complies with the Act. Contract owners are also given training on how to ensure compliance with the framework when onboarding new vendors.

We will not support or do business knowingly with a company involved in slavery or human trafficking.

## **Our Employees**

Our employees are subject to and benefit from a wide range of policies including a disciplinary and grievance policy, a diversity and inclusion policy, a non-discrimination policy, a remuneration policy and numerous compliance policies. The policies and frameworks that we have in place limit the risk of modern slavery in the workplace and encourage all staff to work ethically.

We also operate a global whistleblowing policy to provide the ability for employees to raise any concerns they have in the workplace and for those concerns to be investigated.

## **Annual Review**

IG Group will conduct an annual review of this Statement.

Approved by the Board of Directors on 20 September 2018.

A handwritten signature in black ink that reads "Paul Mainwaring". The signature is written in a cursive style and is positioned above a horizontal dotted line.

**Signed by:**

**Paul Mainwaring, Director**

**IG Group Holdings PLC**